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BEFORE THE ARIZONA CORPORATION

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AZ CORP COMMISSION  
DOCKET CONTROL

**COMMISSIONERS:**

GARY PIERCE-CHAIRMAN  
BOB STUMP  
SANDRA D. KENNEDY  
PAUL NEWMAN  
BRENDA BURNS

In the matter of:

Docket No. S-20823A-11-0407

THOMAS LAURENCE HAMPTON,  
CRD #2470192, and STEPHANIE YAGER,  
husband and wife,

**RESPONDENTS TIMOTHY D. MORAN  
AND PATRICIA MORAN'S NOTICE  
OF APPEARANCE AND REQUEST  
FOR HEARING**

TIMOTHY D. MORAN, CRD # 2326078, and  
PATRICIA MORAN, husband and wife,

PATRICK MORAN, CRD # 1496354, and  
KELLY MORAN, husband and wife,

HAMPTON CAPITAL MARKETS, LLC, an  
Arizona limited liability company,

Respondents.

1. Without waiving any objection as to personal jurisdiction, subject matter jurisdiction, venue, service and sufficiency of process and the like, and all other defenses of any kind or nature, and pursuant to, *inter alia*, A.R.S. § 44-1972 and A.A.C. R14-4-306, Respondents Timothy D. Moran and Patricia Moran hereby request a hearing regarding the Arizona Corporation Commission's issuance of a Proposed Order to Cease and Desist and in regard to all related filings.

2. Pursuant to, *inter alia*, A.A.C. R14-3-104, the undersigned counsel hereby enters their appearance on behalf of Timothy D. Moran and Patricia Moran.

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Arizona Corporation Commission

**DOCKETED**

AUG 06 2012


DOCKETED BY

SM

ROSKA DeWULF & PATTEN, PLC  
ONE ARIZONA CENTER  
400 EAST VAN BUREN STREET - SUITE 800  
PHOENIX, ARIZONA 85004  
TELEPHONE NO 602-256-6100  
FACSIMILE 602-256-6800

1 RESPECTFULLY SUBMITTED this 6<sup>th</sup> day of August, 2012.

2 ROSKA DeWULF & PATTEN, PLC

3  
4 By 

5 Paul J. Roshka, Jr.  
6 Timothy J. Sabo  
7 One Arizona Center  
8 400 East Van Buren Street, Suite 800  
9 Phoenix, Arizona 85004  
602-256-6100 (telephone)  
602-256-6800 (facsimile)  
Attorneys for Respondents  
Timothy D. and Patricia Moran

10  
11 ORIGINAL and thirteen copies of the foregoing  
12 filed this 6<sup>th</sup> day of August, 2012 with:

13  
14 Docket Control  
15 Arizona Corporation Commission  
16 1200 West Washington Street  
Phoenix, Arizona 85007

17  
18 Copy of the foregoing hand-delivered  
this 6<sup>th</sup> day of August, 2012 to:

19 Marc E. Stern, Administrative Law Judge  
20 Hearing Division  
21 Arizona Corporation Commission  
22 1200 West Washington Street  
Phoenix, Arizona 85007

23 Phong (Paul) Huynh, Esq.  
24 Securities Division  
25 Arizona Corporation Commission  
26 1300 West Washington Street, 3rd Floor  
27 Phoenix, Arizona 85007

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FACSIMILE 602-256-6800

1 Copy of the foregoing mailed  
2 this 6<sup>th</sup> day of August, 2012 to:

3 Thomas Hampton and Stephanie Yager  
4 9026 East Calle De Las Brisas  
5 Scottsdale, Arizona 85255  
6 Respondents

7 Michael D. Curran, Esq.  
8 Maynard Cronin Erickson Curran & Reiter, P.L.C.  
9 3200 North Central Avenue, Suite 1800  
10 Phoenix, Arizona 85012  
11 Attorneys for Respondents  
12 Patrick Moran and Kelly Moran

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By Mary Appolito  
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